

FILED August 6, 2020 (Date)
JRG
Board / Commission
M. L.
Signature, Executive Officer

**BEFORE THE IOWA REAL ESTATE COMMISSION
200 EAST GRAND, SUITE 350
DES MOINES, IOWA 50309**

IN RE:)	
)	CASE NUMBER: 20-064
Katherine M. Padilla)	
Salesperson (S68295000))	COMBINED STATEMENT OF
)	CHARGES, INFORMAL
EXP Realty, LLC)	SETTLEMENT AGREEMENT,
5550 Wild Rose Lane, Suite 400)	AND CONSENT ORDER IN A
West Des Moines, IA 50266)	DISCIPLINARY CASE
)	
RESPONDENT)	

The Iowa Real Estate Commission (Commission) and **Katherine M. Padilla** (Respondent) enter into this Combined Statement of Charges, Informal Settlement Agreement, and Consent Order in a Disciplinary Case (Order) pursuant to Iowa Code Sections 17A.10(1) and 272C.3(4) (2020).

1. The Commission issued the Respondent real estate salesperson license number S68295000 on April 7, 2020. Respondent’s license is current and in full force and effect through December 31, 2022. At all times relevant to this matter, the Respondent was an applicant seeking real estate licensure in the state of Iowa.

2. The Commission has jurisdiction of this disciplinary matter pursuant to Iowa Code chapters 17A, 272C, and 543B (2020). Licenses issued by the Commission are subject to the laws of the State of Iowa and to the administrative rules of the Commission.

STATEMENT OF CHARGES

COUNT I

3. Respondent is charged with issuing an insufficient funds check to the Commission in violation of Iowa Code § 543B.15(9) (2019). See Iowa Code §§ 272C.3(2)(e), 543B.9 (2019) and 193E Iowa Administrative Code §§ 9.2(4), 18.14(5)(o).

CIRCUMSTANCES

4. Prior to licensure, on or about April 22, 2019, the Respondent submitted a ‘Waiver for

Completing Criminal History Background Checks' to the Commission as an application requirement for a new Iowa real estate salesperson license. Respondent in filing for her background check submitted a check in the amount of \$51.00 for the criminal history background check fee.

5. On or about May 13, 2019, the aforementioned check was received from the State Treasurer's Office as "Return Reason – D Closed Account." The Respondent subsequently resubmitted \$51.00 to the Commission for the criminal history background check fee on or about June 20, 2019.

6. On April 7, 2020 the Commission issued the Respondent real estate salesperson license number S68295000.

7. Respondent in filing for the criminal history background check submitted a check without sufficient funds to back the submitted instrument.

SETTLEMENT AGREEMENT

8. Respondent admits to each and every allegation in the above-stated Statement of Charges.

9. Respondent acknowledges that she has a right to receive notice of the above-stated charges and to request a hearing before the Commission on the merits of the charges. By freely and voluntarily entering into this Order, the Respondent waives her right to notice and a hearing and all attendant rights, including the right to seek judicial review. This Order constitutes discipline against the Respondent, and is the final agency order in this contested case pursuant to Iowa Code section 17A.10 and 193 Iowa Administrative Code 7.4.

10. Respondent agrees that the State's attorney and/or Commission staff may present this Order to the Commission and may have *ex parte* communications with the Commission while presenting it. Respondent waives any right of notice of this meeting or any right that the Respondent might have to participate in the discussion of this Order among the Commission, the Commission staff and the State's attorney.

11. This Order shall be part of the permanent record of Respondent and shall be considered by the Commission in determining the nature and severity of any future disciplinary action to be imposed in the event of any future violations of the laws and rules governing the practice of real estate by the Respondent.

12. Failure to comply with the terms of this Order shall be prima facie evidence of a violation of Iowa Code sections 543B.29(1)(d), 543B.34(1) and 272C.3(2)(a) (2020) and shall be grounds for further disciplinary action. However, no action may be taken against the Respondent for violations of this Order without a hearing, or waiver of hearing.

13. This Order is not binding on the Iowa Real Estate Commission until it has been formally approved by a majority of the Commission members.

(a) In the event that this Order is rejected by the Commission it shall be of no force or effect to either party and it shall not be admissible for any purpose in further proceedings in this matter.

(b) If the Commission approves this Order, it shall fully dispose of all issues in this case.

14. Upon acceptance by both the Commission and Respondent, this Combined Statement of Charges, Informal Settlement Agreement and Consent Order shall be a public record available for inspection and copying in its entirety in accordance with the requirements of Iowa Code chapter 22 (2020).

CONSENT ORDER

IT IS THEREFORE ORDERED:

15. CIVIL PENALTY. The Respondent shall pay a civil penalty to the Commission in the amount of two hundred fifty dollars (\$250.00) no later than thirty (30) days after acceptance of this Order by the Commission. The civil penalty must come under a cover letter addressed to the Commission's Executive Officer, referencing Case Number 20-064.

16. FUTURE COMPLIANCE. The Respondent shall at all future times fully and promptly comply with all pertinent Orders of the Commission and the statutes and Commission rules regulating the practice of real estate.

WHEREFORE, the terms of this Combined Statement of Charges, Informal Settlement Agreement and Consent Order are agreed to and accepted by the Iowa Real Estate Commission and the Respondent.

FOR THE RESPONDENT:

Voluntarily agreed to and accepted by **Katherine M. Padilla** on this 29th day of June, 2020.



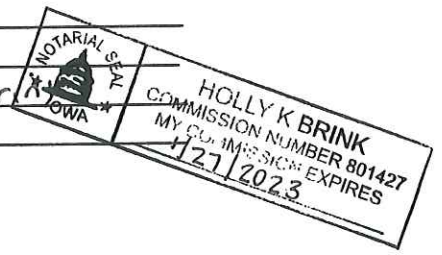
By: **KATHERINE M. PADILLA**, Respondent

State of Iowa)

County of Clay)

Signed and sworn to before me on this 29 day of June, 2020, by:

Holly K. Brink
Notary Public, State of Iowa
Printed Name: Holly K. Brink
My Commission Expires: _____



FOR THE COMMISSION:

Voluntarily agreed to and accepted by the **IOWA REAL ESTATE COMMISSION** on this 6th day of August, 2020.

Terrance M. Duggan For IREC
TERRANCE M. DUGGAN, Chair
Iowa Real Estate Commission