	Department of Commerce Division of Banking	k
	FILED 10/30/18 (DA	TE)
	REAP	
IN THE MATTER OF:	Case No. 18-14 Board / Commission B March Signature, Executive Officer	
Steven A Haage,	Signature, Excentive Officer	
CG01020	STATEMENT OF CHARGES AND ORDER ACCEPTING VOLUNTARY	
RESPONDENT	SURRENDER	

## A. Statement of Charges

1. The Iowa Real Estate Appraiser Examining Board (**"Board"**) has jurisdiction of this disciplinary matter pursuant to Iowa Code chapters 17A, 272C, and 543D (2017).

2. Respondent is a certified general real estate appraiser in Iowa. He was issued Certificate No. CG01020 on October 28, 1991.

3. Certificate No. CG01020 is currently valid and scheduled to expire on June 30, 2020.

4. In 2018 the Board obtained information raising questions about Respondent's compliance with USPAP in the development and reporting of appraisal assignments. The Board subsequently requested two (2) appraisal reports from Respondent and submitted them for Standard Three USPAP review by a peer reviewer. Both of these appraisal reviews revealed issues related to report writing, understanding and application of proper methodology, and adherence to USPAP standards of practice.

5. Following an informal hearing in this matter in which Respondent participated with the Board's Discipline Committee, in August of 2018, the Board voted to find probable cause to charge Respondent with violating appraisal standards. However, rather than immediately file charges and set the matter for hearing, the Board proposed a Combined Statement of Charges and Consent Order ("Consent Order") to Respondent to resolve the matter amicably, and provided Respondent with the Standard Three reviews supporting the Board's probable cause decision.

6. On September 24, 2018, Respondent, through his attorney, sent the Board a letter stating that Respondent had decided to surrender his license voluntarily. Respondent's attorney communicated in the letter that Respondent will not apply for reinstatement of his license.

7. Pursuant to Iowa Administrative Code rule 193F—8.16 "the board <u>may</u> accept the voluntary surrender of a license to resolve a pending disciplinary contested case or pending disciplinary investigation. The board shall not accept a voluntary surrender of a license to resolve a pending disciplinary investigation <u>unless a statement of charges is filed along with the order</u> <u>accepting the voluntary surrender</u>. Such voluntary surrender is considered disciplinary action and shall be published in the same manner as is applicable to any other form of disciplinary order."

8. The Board charges Respondent with:

a) Failure to adhere to USPAP in the development and communication of

Department of Commerce Orision of Banking 4[LT] ([M]

Weather Swine ( )

Robert 1997 (1) (1) and Iowa Administrative Code rules 193F—7.2, .3(2)(d) and .3(7)(a);

- b) "Fáilure to exercise reasonable diligence in the development and communication of multiple appraisals in violation of Iowa Code section 543D.17(e) and Iowa Administrative Code rule 193F—7.3(6)(a); and
- c) Demonstrating negligence or incompetence in the development, preparation and communication of multiple appraisals in violation of Iowa Code sections 272C.10(2) and 543D.17(f) and Iowa Administrative Code rules 193F-7.3(2)(c) and (6)(a).

## **IT IS THEREFORE ORDERED:**

- A. Through this Order Accepting Voluntary Surrender and by filing the above Statement of Charges, the Board accepts the voluntary surrender of Respondent's certificate to resolve a pending disciplinary contested case or pending disciplinary investigation. Such voluntary surrender is considered disciplinary action, is a public record available for inspection and copying in accordance with the requirements of Iowa Code chapter 22, and shall be published in the same manner as is applicable to any other form of disciplinary order.
- B. Should Respondent apply to reinstate his certificate at any point in the future, such reinstatement shall be governed by and conducted in accordance with Iowa Administrative Code rule 193F—8.17 (Reinstatement) and Iowa Administrative Code rule 193—7.38 (Reinstatement). As noted above, Respondent's attorney communicated in the letter voluntarily surrendering Respondent's license that Respondent will not apply for reinstatement of his license.
- C. This Statement of Charges and Order Accepting Voluntary Surrender is the final agency order in the contested case, pursuant to Iowa Code section 17A.10 and Iowa Administrative Code rule 193—7.42. Respondent may appeal this Statement of Charges and Order Accepting Voluntary Surrender to the superintendent of the division of banking within twenty (20) days of the issuance of the same by the Board. *See* Iowa Admin. Code r. 193—17.2(3) and (4).
- D. This Statement of Charges and Order Accepting Voluntary Surrender shall be part of the permanent record of Respondent and shall be considered by the Board in determining the nature and severity of any remedy or penalty to be imposed in the event of any future violations of the laws and rules administered by the Board.

Case No. 18-14

**Entered**:

Iowa Real Estate Appraiser Examining Board

B March for Fred Greder, Chair \_\_\_\_\_

10/30/18 Date