Department of Commerce Professional Licensing Bureau

BEFORE THE IOWA REAL ESTATE COMMISSION 200 EAST GRAND, SUITE 350
DES MOINES, IOWA 50309

	Signature, Executive Office
IN RE:)
) CASE NUMBER: 18-075
Sonny D. Walker)
Broker (B22746000)) COMBINED STATEMENT OF
) CHARGES, INFORMAL
Real Estate Concepts, LC) SETTLEMENT AGREEMENT,
6600 Douglas Avenue) AND CONSENT ORDER IN A
Des Moines, IA 50324) DISCIPLINARY CASE
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The Iowa Real Estate Commission (Commission) and Sonny D. Walker (Respondent) enter into this Combined Statement of Charges, Informal Settlement Agreement, and Consent Order in a Disciplinary Case (Order) pursuant to Iowa Code Sections 17A.10(1) and 272C.3(4).

RESPONDENT

- 1. The Commission issued the Respondent real estate broker license number B22746000 on July 27, 2007. Respondent's license is in full force and effect until December 31, 2024. At all times relevant to this matter, the Respondent served as a licensed real estate broker associate at Real Estate Concepts, LC, license number F04363000, located in Des Moines, Iowa.
- 2. The Commission has jurisdiction of this disciplinary matter pursuant to Iowa Code chapters 17A, 272C, and 543B. Licenses issued by the Commission are subject to the laws of the State of Iowa and to the administrative rules of the Commission.

STATEMENT OF CHARGES

COUNTI

3. Respondent is charged with making a purchase agreement contingent upon the buyer's broker receiving a sales commission calculated by the percentage of the gross sales price. See 193E lowa Administrative Code §§ 11.3(10), 12.2(6), 18.14(5)(s).

CIRCUMSTANCES

- 4. The Respondent represented the potential buyers of the subject property at all times relevant to this matter.
- 5. The subject property was listed by Next Generation Realty, Inc. The sellers entered into an exclusive right to sell contract on February 20, 2018 and the property listing went live on February 22, 2018.
- 6. The exclusive right to sell contract included a commission of \$5,990.00 to Next Generation Realty, Inc. The contract did not include a buyer's broker commission.
- 7. On February 28, 2018, the Respondent contacted the seller directly about the listing of the subject property and informed him he intended to prepare an offer.
- 8. On March 1, 2018, the Respondent prepared a Purchase Agreement signed by the prospective buyers and attached a Unrepresented Seller Professional Fee page that would award the Respondent 3% of the sale price of the subject property at closing.

<u>SETTLEMENT AGREEMENT</u>

- 9. Respondent admits each and every allegation in the above-stated Statement of Charges. This case shall constitute one violation for purposes of Iowa Code section 543B.29(4).
- 10. Respondent acknowledges that he has a right to receive notice of the above-stated charges and to request a hearing before the Commission on the merits of the charges. By freely and voluntarily entering into this Order, the Respondent waives his right to notice and a hearing and all attendant rights, including the right to seek judicial review. This Order constitutes discipline against the Respondent, and is the final agency order in this contested case pursuant to lowa Code section 17A.10 and 193 lowa Administrative Code 7.4.
- 11. Respondent agrees that the State's attorney and/or Commission staff may present this Order to the Commission and may have *ex parte* communications with the Commission while presenting it. Respondent walves any right of notice of this meeting or any right that the Respondent might have to participate in the discussion of this Order among the Commission, the Commission staff and the State's attorney.
- 12. This Order shall be part of the permanent record of Respondent and shall be considered by the Commission in determining the nature and severity of any future disciplinary action to be imposed in the event of any future violations of the laws and rules governing the practice of real estate by the Respondent.

- 13. Failure to comply with the terms of this Order shall be prima facie evidence of a violation of Iowa Code sections 543B.29(1)(d), 543B.34(1) and 272C.3(2)(a) and shall be grounds for further disciplinary action. However, no action may be taken against the Respondent for violations of this Order without a hearing, or waiver of hearing.
- 14. This Order is not binding on the Iowa Real Estate Commission until it has been formally approved by a majority of the Commission members.
 - (a) In the event that this Order is rejected by the Commission it shall be of no force or effect to either party, and it shall not be admissible for any purpose in further proceedings in this matter.
 - (b) If the Commission approves this Order, it shall fully dispose of all issues in this case.
- 15. Upon acceptance by both the Commission and Respondent, this Combined Statement of Charges, Informal Settlement and Consent Order shall be a public record available for inspection and copying in its entirety in accordance with the requirements of Iowa Code chapter 22.

CONSENT ORDER

IT IS THEREFORE ORDERED:

- 16. <u>REPRIMAND</u>. Pursuant to 193E lowa Administrative Code section 18.14(1)(j), the Respondent is reprimanded.
- 17. <u>CIVIL PENALTY</u>. Respondent shall pay a civil penalty to the Commission in the amount of five hundred dollars (\$500.00) no later than twelve (12) months after acceptance of this Order by the Commission. The civil penalty must come under a cover letter addressed to the Commission's Executive Officer, referencing Case Number 18-075.
- 18. <u>FUTURE COMPLIANCE</u>. The Respondent also shall at all future times fully and promptly comply with all pertinent Orders of the Commission and the statutes and Commission rules regulating the practice of real estate.

WHEREFORE, the terms of this Combined Statement of Charges, Informal Settlement Agreement and Consent Order are agreed to and accepted by the Iowa Real Estate Commission and the Respondent.

FOR THE RESPONDENT:

Voluntarily agreed to and accept to CUSWAY, 2024.	ted by Sonny D. Walker on this day of By: SONNY D. WALKER, Respondent
State of Towa	
Signed and sworn to before me on this 2nd day of February	
CAROL J ROACH Commission Number 796963 My Commission Expires July 6, 2025	Notary Public, State of Towa Printed Name: Carol J. Ruach My Commission Expires: 07/06/2025
FOR THE COMMISSION: Voluntarily agreed to and accept day of March	ted by the IOWA REAL ESTATE COMMISSION on this
- The contract of the contract	Reco
JAMES M.H. CLINGMAN, Chair Iowa Real Estate Commission	