BEFORE THE IOWA REAL ESTATE COMMISSION 1920 SE HULSIZER ANKENY, IOWA

IN RE:)) CASE NUMBER 03-133
SCOTT E. THOMAS, SR.	ý
Salesperson (S36888)) STIPULATION
-) AND
Associates Realty, LLC (F04864)) ORDER
1225 Jordan Creek Pkwy)
West Des Moines, IA 50266)
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On this $\cancel{1}$ day of $\cancel{December 2}$, 2005, the Iowa Real Estate Commission and SCOTT E. THOMAS, SR., each hereby agree with the other and stipulate as follows:

1. The allegations specified in the Statement of Charges in this case shall be resolved without proceeding to hearing, as the parties have agreed to the following Stipulation and Consent Order.

2. The Respondent has a right to a hearing on the charges, but waives the right to hearing and all attendant rights, including the right to appeal, by freely and voluntarily agreeing to this Order. Once entered, this Order shall have the force and effect of a disciplinary order entered following a contested case hearing.

3. The Respondent was issued a real estate Salesperson license on April 1, 1996, which is in full force and effect through December 31, 2007.

4. The Iowa Real Estate Commission has jurisdiction over the parties and subject matter jurisdiction over each allegation in the Statement of Charges.

5. A Statement of Charges will be filed against Respondent together with this voluntary Stipulation and Consent Order.

6. Respondent does not admit to the allegations in the Statement of Charges, but agrees to resolve the charges by entering into this Consent Order.

7. If this Stipulation and Consent Order is approved by the Commission it will be filed, along with the Statement of Charges, and upon filing both documents will become public records.

Page 2 03-133 Scott Thomas

8. This Stipulation and Consent Order shall be made a part of the record of the Respondent and may be considered by the Commission in determining the nature and severity of any disciplinary action to be imposed on the Respondent for any future violations of the laws and rules governing the practice of real estate.

9. Failure to comply with the terms of this Stipulation and Consent Order shall be prima facie evidence of a violation of Iowa Code sections 543B.29(3), 543B.34(2) and 272C.3(2)(a) (2005).

10. This Stipulation and Consent Order shall be presented to the Commission in closed session by the prosecuting attorney and/or Commission staff. Respondent waives any right of notice of this meeting or any right which the Respondent might have to participate in the discussion of this Stipulation and Consent Order among the Commission, the Commission staff and the prosecuting attorney.

11. This Stipulation and Consent Order is not binding on the Iowa Real Estate Commission until it has been formally approved by a majority of the Commission members. In the event that this Stipulation and Consent Order is rejected by the Commission it shall be of no force or effect to either party.

THEREFORE IT IS ORDERED that the Respondent is Reprimanded.

IT IS FURTHER ORDERED that the Respondent shall pay a civil penalty in the amount of \$ 1000 within thirty (30) days of acceptance of this stipulation by the Commission and come under a cover letter addressed to the Commission's Executive Officer and refer to case 03-133.

IT IS FURTHER ORDERED AND AGREED that at all future times Respondent shall fully and promptly comply with all pertinent Orders of the Commission and the statutes and Commission rules regulating the practice of real estate. Page 3 03-133 Scott Thomas

FOR THE RESPONDENT:

This Stipulation and Consent Order is volu on this <u>5</u> day of <u>December</u>	ntarily entered into by SCOTT E. THOMAS, SR, 2005.
	XZ
	SCOTT E. THOMAS, SR., Respondent
State of <u>Lowa</u>)	
County of Polk)	
Signed and sworn to before me on this <u>5th</u> day of <u>December</u> , 2005, by	
CHERYL K. MENDENHALL Commission Number 731334 My Commission Expires November 2, 2007	Notary Public, State of Iowa Printed Name: <u>Chery K. Menden ha II</u> My Commission Expires: <u>November 2, 2007</u>

FOR THE COMMISSION:

This Stipulation and Consent Order is accepted by the Iowa Real Estate Commission on this <u>14</u> day of <u>Decemp Ber</u>, 2005. **James E. Hughes**, Chair Iowa Real Estate Commission

BEFORE THE IOWA REAL ESTATE COMMISSION 1920 SE HULSIZER ANKENY, IOWA

IN RE:))) CASE NUMBER: 03-133
) CASE NUMBER. 05-155
SCOTT E. THOMAS, SR.)
Salesperson (S36888)) STATEMENT
) OF
Associates Realty, LLC (F04864)) CHARGES
dba Century 21 Associates Realty	
1225 Jordan Creek Pkwy	
West Des Moines, IA 50266)
)

The Iowa Real Estate Commission has jurisdiction of this matter pursuant to Iowa Code chapters 17A, 543B, and 272C (2005).

Licenses issued by the Commission are subject to the laws of the state of Iowa and to the administrative rules of the Commission.

SCOTT E. THOMAS, SR. was at all material times, during the following events, a licensed Salesperson with Fazio Realty Inc. (F04094) dba RE/MAX A-1 Best Realtors, in Des Moines, Iowa. His license, number S36888 was issued April 1, 1996 and is in full force and effect through 12-31-2007.

COUNT I

The Respondent is charged with making substantial misrepresentation by advertising in a manner appearing to be have a physical presence in an area when he did not, failing to include the name of the affiliated brokerage firm, and failing to keep his supervising broker informed, in violation of Iowa Code section 543B.34(1)(2003) and 193E-IAC sections 7.11(1), 10.1(2), 10.1(3), and 18.14(5)(n), and 18.14(5)(s).

Page 2

03-133 Scott Thomas

CIRCUMSTANCES OF THE COMPLAINT

1. On or about July 19, 2003, Respondent authorized an advertisement encompassing the back page of the 2003-2004 Ames Iowa Yellow Book USA telephone directory. The ad contained only the RE/MAX logo and did not include the Respondent's affiliated brokerage firm name. address, or location.

2. The Respondent's supervising broker did not approve the advertisement and had no prior knowledge that the ad had been placed. Upon becoming aware of the problem, the Broker initiated corrective measures and soon after, the Respondent left the brokerage firm.

3. The Respondent was previously disciplined January 27, 2000, Case No. 99-037 for conducting real estate activities without the sponsoring broker's knowledge, supervision, or approval.

FINDING OF PROBABLE CAUSE

On November 3, 2005 the Iowa Real Estate Commission found probable cause to file this Statement of Charges and to order that a hearing be set in this case.

Executed this / 4th day of DECEMBER, 2005. Roger L. Hansen, Executive Officer

Iowa Real Estate Commission