

This is to be completed by the designated broker of the firm or by the broker sole-proprietor.

Following information will be required:

Trust Account Number

Bank name

Date which the account was or will be closed

Date of the last transaction to the account

Please have the following document(s) ready to attach, if applicable: The bank statement that will confirm the date of the last transaction; the bank statement that will confirm that interest was remitted to the State.