Department of Commerce Professional Licensing Bureau

BEFORE THE ARCHITECTURAL EXAMINING BOARD OF THE STATE OF IOWA

IN THE MATTER OF:

Case No. 04-10

Signature, Executive Officer

Theodore Rozeboom
Rozeboom Miller Architects, Inc

244 First Avenue North

Case No. 04-10

SETTLEMENT AGREEMENT
AND CONSENT ORDER

Minneapolis MN 55401

Respondent

The Iowa Architectural Examining Board (Board) and Theodore Rozeboom (Respondent) enter into this Settlement Agreement and Consent Order (Order), pursuant to Iowa Code section 17A.10 (2003) and 193 IAC 7.4:

- 1. The Board has jurisdiction of this matter pursuant to Iowa Code chapters 17A, 544A, and 272C (2001, 2003).
- 2. Respondent is a registered architect in the state of lowa. His registration lapsed on June 30, 2003. Respondent agrees he performed architectural services in lowa after his registration lapsed. Respondent reinstated his lapsed on registration on June 22, 2004.
- 3. Respondent entered a Settlement Agreement and Consent Order with the board on May 12, 1998 for practicing architecture in Iowa prior to obtaining registration.
 - 4. A statement of charge will be filed simultaneously with this Consent Order.
- 5. Respondent has a right to a hearing on the charges, but waives his right to hearing and all attendant rights by freely and voluntarily entering into this Agreement. The Consent Order is the final agency order in the contested case.
- 6. This Agreement shall be part of the permanent record of Respondent and shall be considered by the Board in determining the nature and severity of any disciplinary action to be imposed in the event of any future violations.
- 7. This Agreement and the Statement of Charges are public records available for inspection and copying in accordance with the requirements of lowa Code chapter 22 (2003).
- 8. Failure to comply with the provisions of this Agreement shall be grounds for disciplinary action pursuant to lowa Code section 272C.3(2)(a) (2003). However, no action may be taken against Respondent for violations of these provisions without a hearing, or waiver of hearing.
 - 9. This Agreement is subject to approval of the Board:
 - (a) If the Board fails to approve this Agreement, it shall be of no force or effect on either party, and it shall not be admissible for any purpose in further proceedings in this matter.
 - (b) If the Board approves this Agreement, it shall fully dispose of all issues in this case.

IT IS THEREFORE ORDERED:

A. Reprimand

Respondent is reprimanded for practicing architecture with a lapsed registration.

B. Civil Penalty

Respondent shall pay a \$1000.00 civil penalty no later than October 31, 2004.

C. Remedial Orders

- (1) By October 15, 2004, Respondent shall send an affidavit to the board listing all lowa projects and his involvement with each project. If Respondent has not provided architectural services in lowa he shall send an affidavit to the board by October 15, 2004 stating that he has provided no architectural services.
- (2) Respondent shall mail written notice to all clients for whom he performed architectural services in the state of Iowa from on and after July 1, 2003 to June 22, 2004. The notice shall advise the client that Respondent's registration was lapsed when the services were provided. Copies of the letters shall be submitted to the Board no later than November 15, 2004.
- (3) Respondent shall recertify and reseal, as applicable, all documents certified or sealed while his registration has expired. Respondent shall provide proof of compliance no later than January 1, 2005.
- (4) Respondent shall complete the NCARB Monograph "Professional Conduct." Evidence of completion of the 10-hour course shall be submitted to the board no later than December 31, 2004. The hours earned shall not apply toward the continuing education requirements needed to renew June 30, 2005.

D. Future Compliance

Respondent shall in the future adhere to all statutes and administrative rules in the practice of architecture.

AGREED AND ACCEPTED:

The Respondent

The lowa Architectural Examining Board

Theodore Rozeboom

President

Oq. 29.04

Date

BEFORE THE ARCHITECTURAL EXAMINING BOARD OF THE STATE OF IOWA

IN THE MATTER OF:)
Theodore Rozeoom, RESPONDENT) CASE NO. 04-10
Rozeboom Miller Architects Inc) STATEMENT OF CHARGES
244 First Avenue North)
Minneapolis MN 55401)

COMES NOW the complainant, Glenda Loving, and states:

- The Complainant is the Executive Officer of the Iowa Architectural
 Examining Board and files these charges solely in her official capacity.
- 2. The Board has jurisdiction of this matter pursuant to Iowa Code chapter 17A, 272C, and 544A(2003).
- 3. The Board issued the Respondent, Theodore Rozeboom, Iowa registration number 03883 on August 11, 1997.
- 4. Respondent's registration lapsed on June 30, 2003. The registration was reinstated on June 22, 2004.
- 5. Respondent continued to perform architectural services in lowa and held himself out as an architect in lowa while his registration had lapsed.
- 6. Respondent entered into a Settlement Agreement and Consent Order on May 12, 1998, for practicing architecture in the state prior to obtaining registration to do so.

COUNT 1

Respondent is charged with unethical conduct and a practice harmful or detrimental to the public in violation of Iowa Code sections 272C.10(3), 544A.1, and 544A.10, 544A.13(3), 544A.15(1), 544A.29 (2003) and 193B IAC 4.1(5)(c),

when he practiced architecture and held himself out as an architect while his registration had lapsed. This is the second similar violation for the Respondent.

WHEREFORE, the complainant prays that a hearing be held in this matter and that the Board take such action as it deems appropriate under the law.

lenda Loving, Executive Officer

FINDING OF PROBABLE CAUSE

On July 15, 2004, the Iowa Architectural Examining Board found probable cause to file this Statement of Charges and to order a hearing be set in this matter.

Jeffrey Morgan, President

Department of Commerce
Professional Licensing and Regulation Division
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Board/Gommission

Signature. Executive Officer